



**SWARNANDHRA  
COLLEGE OF ENGINEERING & TECHNOLOGY**

(AUTONOMOUS)

Accredited by National Board of Accreditation AICTE, New Delhi Accredited by NAAC with 'A' Grade – 3.32 CGPA Recognized under 2(f) & 12(B) of UGC Act 1956  
Approved by AICTE, New Delhi Permanent Affiliation to JNTUH, Kakinada  
Seetharampuram, W.G.DT, Warangal-534280, (Andhra Pradesh)

**DEPARTMENT OF MASTER OF BUSINESS ADMINISTRATION  
TEACHING PLAN**

Course Code	Course Title	Year/ Sem	Branch	Contact Hrs/Week	A.Y	Date of commencement of semester
24MB3E08	FINANCIAL MARKETS AND SERVICES	II	MBA	5	2025-26	11-8-2025

**COURSE OUTCOMES:** Students are able to

- To outline the Indian financial system through knowing the types of various financial institutions, instruments and financial markets.(K1)
- To analyse the various types of Financial Services and to appreciate the role of financial services in economic development.(K5)
- To interpret the feasibility and applications of venture capital and micro finance. (K3)
- To state the significance of credit rating agencies in India. (K1)
- To summarise functioning, working and guidelines relating to mutual funds. (K5)

Week No.	Out Comes	Topics/Activity			Book reference	Con. Hr	Remarks	
1 2 3	To outline the Indian financial system through knowing the types of various financial institutions, instruments and financial markets.(K1)	1.1	UNIT I: Structure of Financial System Structure of Financial System	T1,T2	2	Chalk & Talk, PPT, Case studies		
		1.2	Role of Financial System in Economic Development	T1,T2	2			
		1.3	Financial Markets and Instruments- Capital Market-Money Market	T1,T2	2			
		1.4	Role of SEBI- Secondary Market Operations- Regulation	T1,T2	2			
		1.5	Functions of Stock Markets- Regulation-Listing-Formalities	T1,T2	2			
		1.6	Financial Services Sector Problems and Reforms	T1,T2	2			
		<i>Total</i>			12			
4 5 6	To analyse the various types of Financial Services and to appreciate the role of financial services in economic development.(K5)	UNIT-II: Financial Services					Chalk & Talk, PPT, Case studies	
		2.1	Concept, Nature and Scope of Financial Services	T1,T2	2			
		2.2	Regulatory Frame Work of Financial Services	T1,T2	1			
		2.3	Growth of Financial Services in India	T1,T2	1			
		2.4	Merchant Banking- Meaning- Types	T1,T2	1			
		2.5	Responsibilities of Merchant Bankers	T1,T2	1			
		2.6	Role of Merchant Bankers in Issue Management	T1,T2	1			

			2.7	Regulation of Merchant Banking in India	T1,T2	1	
				<i>Total</i>		8	
				<b>MID EXAM-I</b>			
				<b>UNIT-III : Venture Capital</b>			
7	3	To interpret the feasibility and applications of venture capital and micro finance. (K3)	3.1	Growth of Venture Capital in India	T2, R1	1	Chalk & Talk, PPT, Case studies
8			3.2	Financing Pattern under Venture Capital	T2, R1	1	
			3.3	Legal Aspects and Guidelines for Venture Capital	T2, R1	1	
			3.4	Leasing – types of Leases	T2, R1	1	
			3.5	Microfinance models: Generic models viz. SHG, Grameen, and Co-operative, variants	T2, R1	2	
			3.6	SHG NABARD model, SIDBI model, SGSY model, Grameen Bangladesh mode	T2, R1	2	
			3.7	Credit unions.	T2, R1	1	
				<i>Total</i>		9	
				<b>UNIT-IV: Credit Rating</b>			
9	4	To state the significance of credit rating agencies in India. (K1)	4.1	Credit Rating Meaning, Functions	T1,R1	1	Chalk & Talk, PPT, Case studies
10			4.2	Debt Rating System of CRISIL, ICRA and CARE.	T1,R1	2	
11			4.3	Factoring.	T1,R1	1	
12			4.4	Forfeiting	T1,R1	1	
			4.5	Bill Discounting	T1,R1	1	
			4.6	Types of Factoring Arrangements	T1,R1	2	
			4.7	Factoring in the Indian Context.	T1,R1	1	
				<i>Total</i>		9	
				<b>Unit-V: Mutual Funds</b>			
13	5	To summarise functioning, working and guidelines relating to mutual funds. (K5)	5.1	Mutual Funds: Concept and Objectives	T1,R1	1	Chalk & Talk, PPT, Case studies
14			5.2	Functions and Portfolio Classification.	T1,R1	1	
15			5.3	Organization and Management	T1,R1	1	
			5.4	Guidelines for Mutual Funds.	T1,R1	1	
			5.5	Working of Public and Private Mutual Funds in India	T1,R1	1	
			5.6	Debt Securitization – Concept and Application	T1,R1	1	
			5.7	De-mat Services-need and Operations	T1,R1	1	
			5.8	Role of NSDL and	T1,R1	1	

			CSDL			
			<i>Total</i>		8	
			<i>Relevant case studies to be discussed</i>		7	
			<i>Total No. of Classes</i>		53	
MID EXAM-II						

<b>Text Books:</b>						
S.No	AUTHORS, BOOK TITLE, EDITION, PUBLISHER, YEAR OF PUBLICATION					
1	Bharti V Pathak: The Indian Financial System, Pearson Education, New Delhi, 2010					
2	Bhole : Financial Institutions and Markets, TMH, New Delhi, 2009					
3	David S. Kidwell, David W. Blackwell, David A. Whidbee, Richard W. Sias : Financial Institutions, Markets, and Money, Wiley 2017					
<b>Reference Books:</b>						
S.No	AUTHORS, BOOK TITLE, EDITION, PUBLISHER, YEAR OF PUBLICATION					
1	M.Y.Khan , Financial Services, Tata McGraw-Hill, New Delhi, 2004					
2	Renuka Sharma , Kiran Mehta: Financial Services,Cengage India Private Limited,2017					
3	Marketing Of Financial Services, Avadhan, Himalaya Pub.House, New Delhi.2013					
<b>Web Details</b>						
1	<a href="https://nptel.ac.in/courses/110101132">https://nptel.ac.in/courses/110101132</a>					
2	<a href="https://nptel.ac.in/courses/110101003">https://nptel.ac.in/courses/110101003</a>					
		Name of the Faculty			Signature	
i.	Faculty	<i>Dr. O. V. A. M. Sridhar</i>			<i>Sridhar</i>	
ii.	Course Coordinator	<i>Dr. N. G. S. Prasad</i>			<i>Prasad</i>	
iii.	Module Coordinator	<i>Dr. O. V. A. M. Sridhar</i>			<i>Sridhar</i>	
iv.	Programme Coordinator	<i>Dr. G. Grace</i>			<i>Grace</i>	

  
Principal