



SWARNANDHRA COLLEGE OF ENGINEERING & TECHNOLOGY (AUTONOMOUS)

Accredited by National Board of Accreditation, AICTE, New Delhi Accredited by
NAAC with 'A' Grade - 3 32 CoAFA Recognized under 2(f) & 12(B) of UGC Act 1956.
Approved by AICTE, New Delhi Permanent Affiliation to JNTUK, Kakinada
Seetharampuram, W.G. DT, Narsapur-534260, (Andhra Pradesh)

DEPARTMENT OF MASTER OF BUSINESS ADMINISTRATION TEACHING PLAN

Course Code	Course Title	Year/ Sem	Branch	Contact Hrs/Week	A.Y	Date of commencement of semester
24MB3E08	FINANCIAL MARKETS AND SERVICES	II/I	MBA	5	2025-26	11-8-2025


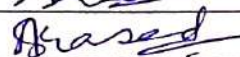
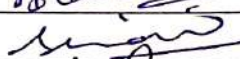

COURSE OUTCOMES: Students are able to

- To outline the Indian financial system through knowing the types of various financial institutions, instruments and financial markets.(K1)
- To analyse the various types of Financial Services and to appreciate the role of financial services in economic development.(K5)
- To interpret the feasibility and applications of venture capital and micro finance. (K3)
- To state the significance of credit rating agencies in India. (K1)
- To summarise functioning, working and guidelines relating to mutual funds. (K5)

Week No.	Out Comes		Topics/Activity		Book reference	Con. Hr	Remarks
1 2 3	1	To outline the Indian financial system through knowing the types of various financial institutions, instruments and financial markets.(K1)		UNIT I: Structure of Financial System			
			1.1	Structure of Financial System	T1,T2	2	Chalk & Talk, PPT, Case studies
			1.2	Role of Financial System in Economic Development	T1,T2	2	
			1.3	Financial Markets and Instruments- Capital Market-Money Market	T1,T2	2	
			1.4	Role of SEBI- Secondary Market Operations- Regulation	T1,T2	2	
			1.5	Functions of Stock Markets- Regulation-Listing-Formalities	T1,T2	2	
			1.6	Financial Services Sector Problems and Reforms	T1,T2	2	
			Total			12	
4 5 6	2	To analyse the various types of Financial Services and to appreciate the role of financial services in economic development.(K5)		UNIT-II: Financial Services			
			2.1	Concept, Nature and Scope of Financial Services	T1,T2	2	Chalk & Talk, PPT, Case studies
			2.2	Regulatory Frame Work of Financial Services	T1,T2	1	
			2.3	Growth of Financial Services in India	T1,T2	1	
			2.4	Merchant Banking- Meaning- Types	T1,T2	1	
			2.5	Responsibilities of Merchant Bankers	T1,T2	1	
			2.6	Role of Merchant Bankers in Issue Management	T1,T2	1	

			2.7	Regulation of Merchant Banking in India	T1,T2	1	
				Total		8	
				MID EXAM-I			
7 8	3	To interpret the feasibility and applications of venture capital and micro finance. (K3)		UNIT-III : Venture Capital			
			3.1	Growth of Venture Capital in India	T2, R1	1	Chalk & Talk, PPT, Case studies
			3.2	Financing Pattern under Venture Capital	T2, R1	1	
			3.3	Legal Aspects and Guidelines for Venture Capital	T2, R1	1	
			3.4	Leasing – types of Leases	T2, R1	1	
			3.5	Microfinance models: Generic models viz. SHG, Grameen, and Co-operative, variants	T2, R1	2	
			3.6	SHG NABARD model, SIDBI model, SGSY model, Grameen Bangladesh mode	T2, R1	2	
			3.7	Credit unions.	T2, R1	1	
				Total		9	
9 10 11 12	4	To state the significance of credit rating agencies in India. (K1)		UNIT-IV: Credit Rating			
			4.1	Credit Rating Meaning, Functions	T1,R1	1	Chalk & Talk, PPT, Case studies
			4.2	Debt Rating System of CRISIL, ICRA and CARE.	T1,R1	2	
			4.3	Factoring,	T1,R1	1	
			4.4	Forfeiting	T1,R1	1	
			4.5	Bill Discounting	T1,R1	1	
			4.6	Types of Factoring Arrangements	T1,R1	2	
			4.7	Factoring in the Indian Context.	T1,R1	1	
				Total		9	
13 14 15	5	To summarise functioning, working and guidelines relating to mutual funds. (K5)		Unit-V: Mutual Funds			
			5.1	Mutual Funds: Concept and Objectives	T1,R1	1	Chalk & Talk, PPT, Case studies
			5.2	Functions and Portfolio Classification,	T1,R1	1	
			5.3	Organization and Management	T1,R1	1	
			5.4	Guidelines for Mutual Funds,	T1,R1	1	
			5.5	Working of Public and Private Mutual Funds in India	T1,R1	1	
			5.6	Debt Securitization – Concept and Application	T1,R1	1	
			5.7	De-mat Services-need and Operations	T1,R1	1	
			5.8	Role of NSDL and	T1,R1	1	

			CSDL			
			Total		8	
			Relevant case studies to be discussed		7	
			Total No .of Classes		53	
MID EXAM-II						

Text Books:			
S.No	AUTHORS, BOOK TITLE, EDITION, PUBLISHER, YEAR OF PUBLICATION		
1	Bharti V Pathak: The Indian Financial System, Pearson Education, New Delhi, 2010		
2	Bhole : Financial Institutions and Markets, TMH, New Delhi, 2009		
3	David S. Kidwell, David W. Blackwell, David A. Whidbee, Richard W. Sias : Financial Institutions, Markets, and Money, Wiley 2017		
Reference Books:			
S.No	AUTHORS, BOOK TITLE, EDITION, PUBLISHER, YEAR OF PUBLICATION		
1	M.Y.Khan , Financial Services, Tata McGraw-Hill, New Delhi, 2004		
2	Renuka Sharma , Kiran Mehta: Financial Services,Cengage India Private Limited,2017		
3	Marketing Of Financial Services, Avadhan, Himalaya Pub.House, New Delhi.2013		
Web Details			
1	https://nptel.ac.in/courses/110101132		
2	https://nptel.ac.in/courses/110101003		
		Name of the Faculty	Signature
i.	Faculty	Dr. O. V. A. M. Sundari	
ii.	Course Coordinator	Dr. N. G. S. Prasad	
iii.	Module Coordinator	Dr. O. V. A. M. Sundari	
iv.	Programme Coordinator	Dr. G. Grace	


Principal